Attorney's Docket No.: RSW920030086US1/9407-4   PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Himberger et al.
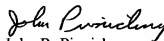Serial No.: To Be Assigned
Filed: Concurrently Herewith
For: **METHODS, SYSTEMS, AND COMPUTER PROGRAM PRODUCTS FOR
       DETERMINING BLOCKING MEASURES FOR PROCESSING
       COMMUNICATION TRAFFIC ANOMALIES**

Date: _02/04/04_

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

        Attached is a list of documents on Form PTO-1449, together with a copy of any listed

foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or

U.S. patent application publication is not provided herewith in accordance with the waiver by

the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all

U.S. national patent applications filed after June 30, 2003 and for all international

applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

        It is requested that these documents be considered by the Examiner and officially

made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the

MPEP. The Commissioner is hereby authorized to charge any additional fee, which may be

required, or credit any refund, to our Deposit Account No. 50-0629.

                                        Respectfully submitted,

                                        John R. Pivnichny
                                        Registration No. 43,001

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.K./

Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. RSW920030086US1/9407-4	Serial No. To be assigned
		Applicant: Himberger et al.	
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)		Filing Date: Concurrently herewith	GAU: Unknown

U.S. PATENT DOCUMENTS

Examiner Initials		Document No.	Date (m/d/y)	Name	Class	Subclass	Filing Date if Appropriate
	1	6,550,012B1	04/15/03	Villa et al.	713	203	
	2	6,546,493 B1	04/08/03	Magdych et al.	713	201	
	3	6,542,592 B2	04/01/03	Heilmann et al.	379	189	
	4	6,519,703 B1	02/11/03	Joyce	713	201	
	5	6,499,107 B1	12/24/02	Gleichauf et al.	713	201	
	6	6,484,203 B1	11/19/02	Porras et al.	709	224	
	7	6,345,299B2	02/05/02	Segal	709	224	
	8	6,304,975 B1	10/16/01	Shipley	713	201	
	9	6,061,798	05/09/00	Coley et al.	713	201	
	10	6,044,402	03/28/00	Jacobson et al.	709	225	
	11	5,958,010	09/28/99	Agarwal et al.	709	224	
	12	5,826,014	10/20/98	Coley et al.	395	187.01	
	13	US2003/0097590A1	05/22/03	Syvanne	713	201	
	14	US2003/0097589	05/22/03	Syvanne	713	201	
	15	US2003/0065943A1	04/03/03	Geis et al.	713	201	
	16	US2003/0023708A1	01/30/03	Jung	7109	221	
	17	US2003/0018914A1	01/23/03	Cheng et al.	713	203	
	18	US2003/0004689A1	01/02/03	Gupta et al.	702	188	
	19	US2002/0107953A1	08/08/02	Ontiveros et al.	709	221	
	20	US2002/0178383A1	11/28/02	Hrabik et al.	713	201	

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	21	JP 2002073433	03/12/02	Japan			

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	22	Labuschagne et al. "Activating Dynamic Countermeasures to Reduce Risk," *Information System Security---Facing the Information Society of the 21st Century*, 1996
	23	Labuschagne et al. "The Use of Real-Tim Risk Analysis to Enable Dynamic Activation of Countermeasures," *Computers and Security*, May 1998.
	24	Sekar et al. "On Preventing Intrusions by Process Behavior Monitoring," *Proc. of the Workshop on Intrusion Detection and Network Monitoring*, 1999
	25	Balasubramaniyan et al. "An Architecture for Intrusion Detection Using Autonomous Agents," *COAST Technical Report*, COAST Laboratory, Purdue University, June 11, 1998.
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	27	Dasgupta, Dipankar, "Immunity-Based Intrusion Detection System: A General Framework," *Proc. 22nd National Information Systems Security Conference*, 1999.
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	30	Lindquist et al., "eXpert-BSM: A Host-Based Intrusion Detection Solution for Sun Solaris," *Proc. of the 17th Annual Computer Security Applications Conference, IEEE Computer Society*, 2001.
	31	Newman et al. "Intrusion Detection Systems Suspicous Finds," *Data Communications*, Vol. 27, No. 11, August 1998, pp. 72-82.
	32	Forte, Dario, "Guaranteeing the Safety of a Network Beyond the Firewall," *Network Security*, September 1998, pp. 12-6.
	33	Wood, Charles Cresson, "Logging, Auditing and Filtering for Internet Electronic Commerce," *Computer Fraud and Security*, August. 1997, pp. 11-6.
	34	Marcinkevič, M. "Skaitmeninés televizijos tinklų plétra Lietuvoje," *Elektronika Ir Elektrotechnika (Electronics and Electrical Engineering)*, No. 6 (41), 2002, pp. 72-5.

Examiner: /Jung Kim/   Date Considered: 06/22/2008

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.K./